

STATE OF NEW MEXICO
OFFICE OF THE TREASURER

The Honorable Laura M. Montoya
State Treasurer

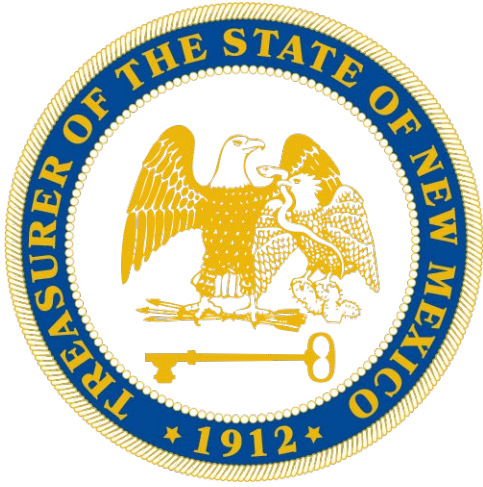
Malaquias "JR" Rael
Deputy State Treasurer

STATE TREASURER'S
INVESTMENT COMMITTEE
Via Teleconference

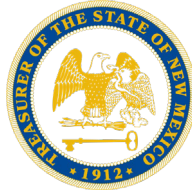


Pecos National Historical Park - Pecos, New Mexico
Photo by: John Kreienkamp

Tuesday, June 06, 2023
1:00 pm



1. Approval of April 12, 2023, Agenda



STATE OF NEW MEXICO
OFFICE OF THE TREASURER

The Honorable Laura M. Montoya
State Treasurer

Malaquias "JR" Rael
Deputy State Treasurer

STATE TREASURER'S INVESTMENT COMMITTEE
Wednesday, June 06, 2023, 1:00 pm
Held both in person and via Zoom

Address for in-person attendees: 2055 South Pacheco Street, Suite 100, Santa Fe, NM 87505

Via Zoom: <https://us02web.zoom.us/j/82087607882?pwd=cG1qQ1NrdjRhUGtZQXBiNWZFOU9>

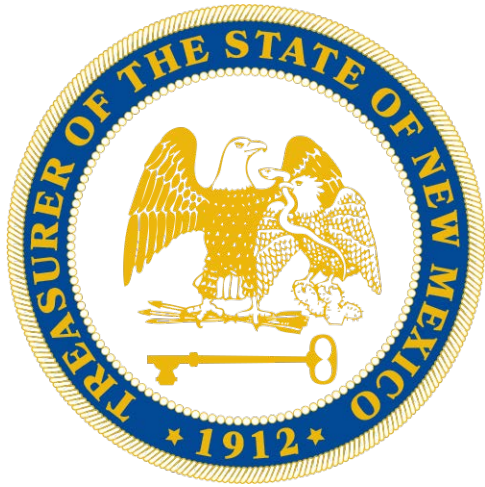
Meeting ID: 820 8760 7882 Passcode: 100395

Via Telephone Only: (669) 900-6833 Meeting ID: 820 8760 7882 Passcode: 100395

MEETING AGENDA

Roll Call

1. Approval of June 06, 2023, Agenda.....ACTION
2. Approval of April 12, 2023, Minutes.....ACTION
3. Approval of May 10, 2023, Minutes.....ACTION
4. Approval of Fiscal Year 2024 and 2025 Broker-Dealer List.....ACTION



2. Approval of April 12, 2023, Minutes

**New Mexico State Treasurer's Office
STIC Committee Meeting
Meeting Minutes
Wednesday, April 12, 2023**

ROLL CALL:

A regular meeting of the New Mexico State Treasurer's Investment Committee (STIC) was called to order this date at 9:03 am via videoconference.

Members Present

Mr. Steve Marsico, Chair, State Cash Manager
State Treasurer Laura M. Montoya
Ms. Ashley Leach, State Board of Finance
Mr. Eric L. Rodriguez, Public Member
Ms. Cilia Agliandolo, Public Member

Members Absent

None

Staff Present

Mr. JR Rael, Deputy State Treasurer	Mr. Dominic Donio, Chief Financial Officer
Ms. Vikki Hanges, Chief Investment Officer	Ms. Ashly Quintana, Collateral Accountant/Auditor
Ms. Anna Murphy, Senior Portfolio Manager	Ms. Jerri Mares, Programs & Outreach Director
Mr. Frank Nichol, Assistant Portfolio Manager	Ms. Mary Blanco, Executive Assistant
Mr. Arsenio Garduño, Collateral Manager	Mr. John Kreienkamp, General Counsel

Guests Present

Ms. Deanne Woodring, Government Portfolio Advisors
Mr. Michael Morrison, NMDOT
Mr. Brendon Gray, LFC
Mr. Rashad Masri, Government Portfolio Advisors

Chair Steve Marsico called the meeting to order.

1. Approval of April 12, 2023, Agenda

Treasurer Montoya moved approval of the agenda. Motion was seconded by Member Ashley Leach. The motion passed by roll call vote as follows:

Treasurer Montoya: Aye	Member Leach: Aye	Member Rodriguez: Aye
Member Agliandolo: Aye	Chair Marsico: Aye	

2. Approval of February 08, 2023, Minutes

Treasurer Montoya moved approval of the February 08, 2023, minutes. Motion was seconded by Member Rodriguez. The motion passed by roll call vote as follows:

Treasurer Montoya: Aye	Member Leach: Aye	Member Rodriguez: Aye
Member Agliandolo: Aye	Chair Marsico: Aye	

3. Public Comments

Member Rodriguez inquired if it was necessary to approve the March binder since we did not have a meeting. Treasurer Montoya clarified that the approval would be for the meeting minutes. The committee was reminded that all monthly reports are posted on STO's website.

4. Executive Summary

Ms. Vikki Hanges presented highlights of the Executive Summary.

5. Investment Policy Compliance Report

Mr. Arsenio Garduño presented highlights of the Investment Policy Compliance Report.

6. Investment Accounting Report

Mr. Dominic Donio presented highlights of the Investment Accounting Reconciliation Report.

7. Cash Projections

Mr. Arsenio Garduño presented highlights of Cash Projections.

8. Portfolio Summary—General Fund Investment Pool

Ms. Vikki Hanges presented highlights of the General Fund Investment Pool Portfolio Summary. A brief discussion followed.

9. Portfolio Summary—Local Government Investment Pool

Ms. Anna Murphy presented highlights of the Local Government Investment Pool Portfolio Summary. A brief discussion followed.

10. Portfolio Summary—Tax-Exempt Bond Proceeds Investment Pool

Ms. Anna Murphy presented highlights of the Tax-Exempt Bond Proceeds Investment Pool Portfolio Summary.

11. Portfolio Summary—Taxable Bond Proceeds Investment Pool

Ms. Anna Murphy presented highlights of the Taxable Bond Proceeds Investment Pool Portfolio Summary. A brief discussion followed.

12. Portfolio Summary—Severance Tax Bonding Fund

Ms. Vikki Hanges presented highlights of the Severance Tax Bonding Fund Portfolio Summary.

13. Broker-Dealer Activities

Mr. Arsenio Garduño presented highlights of the Broker-Dealer activities.

14. Credit Investing

Ms. Vikki Hanges presented highlights of Credit Investing. A brief discussion followed.

15. State Agency Deposit Balances

Mr. Arsenio Garduño presented highlights of the State Agency Deposit Balances.

16. Collateral Report on Agency Deposits & CDs

Mr. Arsenio Garduño presented highlights of the Collateral Report on Agency Deposits and CDs. A brief discussion followed.

17. Next Meeting—Wednesday, May 10, 2023, 9:00 am**18. Closing Remarks**

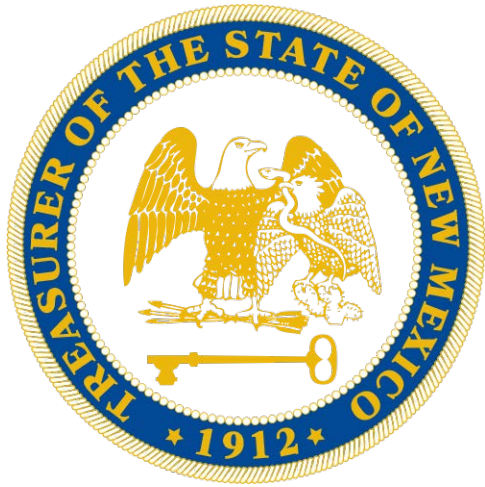
Member Agliodoro appreciated the discussion on bank deposits and stated she was going to check on their collateralization on deposits in light of what happened with Silicon Valley Bank.

Member Rodriguez stated that last month a local utility company in his county joined the LGIP and thanked STO staff in making the process seamless, and is happy to be part of the team.

Deanne Woodring, GPA, provided additional policy and background information on the LGIP Commercial Paper discussion. Ms. Woodring complemented the agility of the STO staff and the discipline within the office during this volatile time in the markets, and also was encouraged by Treasurer Montoya's initiative in standing strong against child labor issues and impressed by STO taking a stand to put those companies on the caution list.

19. Adjournment

Meeting adjourned at 10:14 am.



3. Approval of May 10, 2023, Minutes

**New Mexico State Treasurer's Office
STIC Committee Meeting
Meeting Minutes
Wednesday, May 10, 2023**

ROLL CALL:

A regular meeting of the New Mexico State Treasurer's Investment Committee (STIC) was called to order this date at 9:03 am via videoconference.

Members Present

Mr. Steve Marsico, Chair, State Cash Manager
State Treasurer Laura M. Montoya
Ms. Ashley Leach, State Board of Finance
Mr. Eric L. Rodriguez, Public Member
Ms. Cilia Agliandolo, Public Member

Members Absent

None

Staff Present

Mr. JR Rael, Deputy State Treasurer	Mr. Dominic Donio, Chief Financial Officer
Ms. Vikki Hanges, Chief Investment Officer	Ms. Ashly Quintana, Collateral Accountant/Auditor
Ms. Anna Murphy, Senior Portfolio Manager	Ms. Jerri Mares, Programs & Outreach Director
Mr. Frank Nichol, Assistant Portfolio Manager	Ms. Mary Blanco, Executive Assistant
Mr. Arsenio Garduño, Collateral Manager	Mr. John Kreienkamp, General Counsel

Guests Present

Ms. Deanne Woodring, Government Portfolio Advisors
Mr. Michael Morrison, NMDOT
Mr. Brendon Gray, LFC
Mr. Rashad Masri, Government Portfolio Advisors
Mr. Noel Martinez, Analyst, DFA
Ms. Rebecca Garcia, Public

Chair Steve Marsico called the meeting to order.

1. Approval of May 10, 2023, Agenda

Board members discussed amending today's agenda to hear Item 5 before Item 4. Treasurer Montoya moved approval of the agenda as amended. Motion was seconded by Member Ashley Leach. The motion passed by roll call vote as follows:

Treasurer Montoya: Aye	Member Leach: Aye	Member Rodriguez: Aye
Member Agliandolo: Aye	Chair Marsico: Aye	

2. Approval of April 12, 2023, Minutes

The April 12, 2023 minutes were not posted on the website, and action will be taken at the next STIC meeting.

3. Public Comments

None

4 Quarterly Investment Review

Ms. Vikki Hanges presented highlights of the Quarterly Investment Review.

5. Investment Advisor - March 2023 Quarterly Investment Report

Ms. Deanne Woodring presented highlights of the 2023 March Quarterly Investment Report. A brief discussion followed.

6. Trade Performance Summary

Treasurer Montoya presented highlights of the Trade Performance Summary Report. A brief discussion followed.

7. Executive Summary for Month Ended March 2023

Ms. Vikki Hanges presented highlights of the Executive Summary for Month Ended March 2023.

8. Investment Policy Compliance Report

Mr. Arsenio Garduño presented highlights of the Investment Policy Compliance Report.

9. Investment Accounting Report

Mr. Dominic Donio presented highlights of the Investment Accounting Report. A brief discussion followed.

10. Cash Projections

Mr. Arsenio Garduno presented highlights of the Cash Projections.

11. Broker- Dealer Activities

Mr. Arsenio Garduno presented highlights of the Broker-Dealer Activities. A brief discussion followed.

12. Credit Investing

Ms. Vikki Hanges presented highlights of the Credit Investing Report.

13. Portfolio Summary— General Fund Investment Pool

Ms. Vikki Hanges presented highlights of the General Fund Investment Pool Portfolio Summary.

14. Portfolio Summary—Local Government Investment Pool (LGIP)

Ms. Anna Murphy presented highlights of the Local Government Investment Pool Summary.

15. Portfolio Summary—Tax-Exempt Bond Proceeds Investment Pool

Ms. Anna Murphy presented highlights of the Tax-Exempt Bond Proceeds Investment Pool Portfolio Summary.

16. Portfolio Summary—Taxable Bond Proceeds Investment Pool

Ms. Anna Murphy presented highlights of the Taxable Bond Proceeds Investment Pool Portfolio Summary. A brief discussion followed.

17. Portfolio Summary—Severance Tax Bonding Fund

Ms. Vikki Hanges presented highlights of the Severance Tax Bonding Fund Portfolio Summary.

18. State Agency Deposit Balances

Ms. Ashly Quintana presented highlights of the State Agency Deposit Balances. A brief discussion followed.

19. Collateral Report on Agency Deposits & CDs

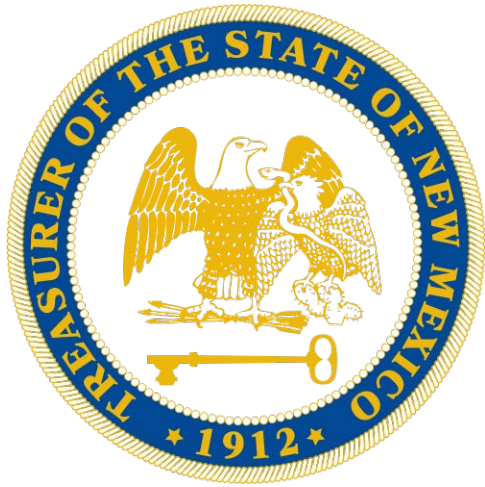
Ms. Ashly Quintana presented highlights of the Collateral Report on Agency Deposits and CD's.

20. Next Meeting—Wednesday, July 12, 2023, 9:00 am**21. Closing Remarks/Adjournment**

Treasurer Montoya stated that there will not be a STIC meeting in June. Board members will receive an email requesting availability for a Zoom meeting to approve action items: the approval of the meeting minutes for April 12, 2023 and the approval of the broker/dealer list that needs to be presented to the State Board of Finance for the June meeting.

The meeting adjourned at 10:38 am.

Minutes taken by Ms. Mary Blanco.



4. Approval of Fiscal Year 2024 and 2025 Broker-Dealer List

NewMexico State Treasurer's Office
Final Broker-Dealer List
Fiscal Year 2024 and 2025

FIRM	NAME	FINRA CRD #	PHONE	ADDRESS	E-MAIL	PRIMARY / SECONDARY
1 Academy Securities CRD # 17433	John Tarditi Matt McQuillen Mike DiMeglio Pat Hackett	2672075 2364512 5329038 6769048	646-520-4912 646-520-4911 646-430-8645 646-213-3749	622 Third Avenueue 12th Floor New York, NY 10017	jtarditi@academysecurities.com mmcquillen@academysecurities.com mdimeglio@academysecurities.com phackett@academysecurities.com	Secondary Dealer
2 ASL Capital Markets CRD # 286115	Michael Weir Jim Levenson	2022699 1526632	203-3882956 203-3882959	600 Summer Street Suite 402 Stamford, CT 06901	michael.weir@aslap.com jim.levenson@alcap.com	Secondary Dealer
3 Bancoft Capital CRD # 290665	Steve M. Ivicic Harry G. Smith Susan E. Gress Kathleen M. Baltra	1367360 1097780 1100249 5068022	484-373-4737 646-880-8601 484-373-4742 484-373-4741	501 Office Center Drive Suite 130 Fort Washington, PA 19034	sivcic@bancroft4vets.com hgsmith@bancroft4vets.com sgress@bancroft4vets.com kbaltra@bancroft4vets.com	Secondary Dealer
4 Bof A Securities Inc CRD # 283942	Julia Erickson	1411581	312-234-4562	One Bryant Park New York, NY 10036	Julie.a.erickson@bofa.com	Primary Dealer
5 Barclays Capital CRD # 19714	Peter Bjuersten Sean McGuire	2497396 6973489	415-263-3370	745 7th Avenue New York, NY 10019	peter.bjuersten@barclays.com	Primary Dealer
6 BMO Capital Markets CRD # 16686	Shawn Oxenham Bill Pilsbury Paul Montaguila	4058498 5216534 2187454	415-591-2107 514-591-2110 415-591-2106	151 West 42 Street New York, NY 10036	shawn.oxenham@bmo.com William.pilsbury@bmo.com paul.montaguila@bmo.com	Primary Dealer Active Approved for REPO
7 BOK Financial Securities CRD # 17530	Blake Murry Justin Close Hal Brown Zach Mills	5441074 5601555 2861849 6634904	918-588-6067 918-594-7735 405-272-2408 918-619-0848	One Williams Center Plaza SE Tulsa, OK 74192	bmurry@bokf.com jclose@bokf.com hbrown@bokf.com Zach.mills@bokf.com	Secondary Dealer
8 Cabera Capital Markets CRD # 10081	Steven Goff Ed O'Connor	5748679 2398152	205-422-3476 215-422-3481	227 W. Monroe Suite 3000 Chicago, IL 60606	sgoff@cabereacapital.com eonnor@cabreracapital.com	Secondary Dealer
9 Cantor Fitzgerald CRD # 134	Andrew Davidoff Susan Munson	1121622 2009836	949-508-1136 949-754-6403	110 East 59th Street 4th Floor New York, NY 10022	andreg.davidoff@cantor.com susan.munson@cantor.com	Primary Dealer
10 Castleoak Securities CRD # 125334	Matthew Middendorf Scott Rider	3133237 3168094	216-912-4641 212-6105584	200 Vessey St. 4th Floor New York, NY 10281	mmiddendorf@castleoaklp.com srider@castleoaklp.com	Secondary Dealer
11 CIBC Worls Markets Corp. CRD # 630	Karyne D'Angelis Mendes Seo Young Yun	3277910 6188400	212-885-4773 212-856-6773	300 Madison Avenue 5th Floor New York, NY 10017	karyne.dangelismendes@cibc.com seo.dyoungyun@crd.com	Secondary Dealer
12 Daiwa Capitl Markets CRD # 1576	Andres Tamayo Thomas Moore	4664829 1750761	415-955-8185 212-612-6200	Financial Square 32 Old Ship New York, NY 10005	andres.dandrestamayo@us.daiwacm.com thomas.moore@us.daiwacm.com	Primary Dealer
13 Dexel Hamilton CRD # 143570	Lynn Orenstein Patrick O'Connor John C. Martinko	1938618 4527527 5286067	212-632-0413 646-412-1529 646-412-1511	110 East 42 Steet Suite 1502 New York, NY	lorenstein@drexelhamilton.com poconnor@drexelhamilton.com jmartinko@drexelhamilton.com	Secondary Dealer
14 FHN Financials CRD # 46346	Heather MacGregor	3099935	305-537-1776	The Main 201 Las Olas Blvd. Suite 1120 Fort Lauderdale, FL 33301	heather.macgregor@fhnfinancall.com	Secondary Dealer
15 Fifth Third Securities CRD # 628	Thomas Short Deb Gellman	4262581 2012105	703-867-7494 646-216-4205	38 Fountain Square Plaza MD 10903p Cincinnati, OH 45202	thomas.short@53.com deb.gellman@53.com	Secondary Dealer
16 Great Pacific Securities CRD # 29251	Leah Belfiore Christopher Vinck-Luna James Voight	5789203 2447335 1286446	714-619-3000 714-619-3000 714-619-3000	151 Kalmus Drive Suite H-8 Costa Mesa, CA 92626	lbelfiore@greatpac.com cvinck@greatpac.com jvoight@greatpac.com	Secondary Dealer
17 HSBC Securities CRD # 19585	Daniel J Wolff Carl Neuhaus	6650650 4222174	212-525-0200 212-525-0200	452 5th Avenue New York, NY 10018	daniel.x.wolff@us.hsbc.com carl.p.neuhaus@us.hsbc.com	Primary Dealer
18 InspereX LLC CRD # 101420	Daniel Larsen Ian Paetow Jessica Berks	2450797 6370385 4603854	561-361-1269 561-361-1284 561-361-1289	25 SE 4th Avenue Suite 400 Delray Beach, FL 33483	dlarsen@insperex.com jpaetow@insperex.com jberks@insperex.com	Secondary Dealer
19 Jefferies LLC CRD # 2347	Scott Lewis Joseph Triolo	1697540 2765483	404-264-5056 212-284-2182	520 Madison Avenue New York, NY 10022	scott.lewis@jefferies.com jtriolo@jefferies.com	Primary Dealer
20 JP Morgan Securities CRD # 079	Asher Joseph Brittany Guinee Elizabeth Jaronczyk	6202270 6658144 5345147	212-834-7412 212-834-5718 212-272-6795	383 Madison Avenue New York, NY 10179	asher.j.joseph@jpmorgan.com brittany.guinee@jpmorgan.com elizabeth.a.jaronczyk@jpmorgan.com	Primary Dealer
21 KeyBanc Capital Markets CRD # 566	James Boyle Kenneth V Kauftheil Kelly Metheny	2961006 1301973 2886727	415-733-2460 415-733-2460 216-689-7167	1 California St. Suite 2400 San Francisco, CA 94111	jbovie@key.com ken.kauftheil@key.com kmetheny@key.com	Secondary Dealer

NewMexico State Treasurer's Office
Final Broker-Dealer List
Fiscal Year 2024 and 2025

FIRM	NAME	FINRA CRD #	PHONE	ADDRESS	E-MAIL	PRIMARY / SECONDARY
22 Loop Capitl Markets CRD # 43098	Eileen Piechocki Julie Karr wade Watson	1642606 1715239 5084410	312-913-4902 312-913-4942 312-913-2270	111 W. Jackson Suite 1900 Chicago, IL 60604	eileen.piechocki@loopcapital.com julie.karr@loopcapital.com wade.watson@loopcapital.com	Secondary Dealer
23 MarketAxess CRD # 44542	John Tarpey	2008817	212-813-6002	55 Hudson Yards Floor 15 New York, NY 10001	jtarp@marketaxess.com	Secondary Dealer
24 Mesirow Financial Inc CRD # 2764	George Barbar Rick Perez Glenn Edmonds	4557730 4241001 2444511	561-862-5353 561-862-5310 561-862-5306	353 North Clark Street Chicago, IL 60654	george.baber@mesirow.com rick.perez@mesirow.com glenn.edmonds@mesirow.com	Secondary Dealer
25 Mizuho Securities CRD # 19647	Sean Smith Kyle Fetherolf	4038855 4417971	212-205-7540 212-205-7540	1271 Avenue of the Americas New York, NY 10020	sean.smith@mizuhogroup.com kyle.fetherolf@mizuhogroup.com	Primary Dealer
26 Moreton Capital Markets CRD # 132643	Mark Lewis Larry Lundberg Ryan Stoker	1912930 4500324 4066425	213-223-2059 801-535-3652 801-535-3651	101 South 200 East Suite 300 Salt Lake City, UT 84111	mark.lewis@moretoncm.com larry@moretoncm.com ryan@moretoncm.com	Secondary Dealer
27 Morgan Stanley CRD # 8209	Justin C. Baker Russel C. Kwok Richard J. Duffy	4938937 4858963 2059442	213-486-8883 213-486-8889 213-486-8828	1585 Broadway New York, NY 10036	justin.baker@morganstanley.com russell.kwok@morganstanley.com richard.duffy@morganstanley.com	Primary Dealer
28 MUFG CRD # 19685	John Cooke	4780593	212-405-7353	1221 Avenue of the Americas 6th Floor New York, NY 10020-1001	john.cooke@mufgsecurities.com	Secondary Dealer
29 NatWest Market Securities CRD # 11707	Peter Murphy Joseph Notaro Damon Allen Jonathan Wyson Darren Austin	4417625 4703068 2679580 5072335 4672704	203-897-2800 203-897-2800 203-897-2828 203-897-2828 202-897-2828	600 Washington Boulevard 7th Floor Stamford, CT 06901	peter.murphy@natwestmarkets.com joseph.notaro@natwestmarkets.com damon.allen@natwestmarkets.com jonathan.wyson@natwestmarkets.com darren.austin@natwestmarkets.com	Primary Dealer Active Approved for REPO
30 Oppenheimer & Co. CRD # 249	Behzad Mansouri Pierre Watiez	2362291 4116027	212-667-5095 212-667-5095	85 Broad Street New York, NY 10004	behzad.mansour@opco.com pierre.watiez@opco.com	Secondary Dealer
31 Piper Sanders & Co. CRD # 665	Victor Ume-ukeje Jane Fielding	1512919 2503145	415-616-1662 415-616-1681	50 California Street Suite 3100 San Francisco, CA 94111	victor.ume-ukeje@psc.com jane.fielding@psc.com	Secondary Dealer
32 R. Seelaus & Co. CRD # 14974	Benjamin Seelaus Timothy Paster Jeffry Fegenwinter Vincent Sottile	4621898 4227985 1799286 1297727	908-273-3011 908-273-3012 908-273-3012 908-273-3012	26 Main Street Suite 300 Chatham, NJ 07928	bseelaus@rseelaus.com tpaster@rseelaus.com jfeigenwinter@rseelaus.com vsottile@rseelaus.com	Secondary Dealer
33 Raymond James & Associates CRD # 705	Rosa Schulte Amy Iannella	4414840 1720777	949-365-5850 303-200-1405	880 Carillon Parkway St. Petersburg, FL 33716	rosa.schulte@raymondjames.com amy.iannella@raymondjames.com	Secondary Dealer
34 RBC Capital Markets CRD # 31194	Eric Ajlouny Eric Harounian David Attansio Cesar Del Pozo Ohn Choe John Di Schiavi	4133186 5485439 2649910 5023324 6334643 6206937	415-445-6351 212-858-7356 212-858-7276 212-519-8405 212-858-7393 212-618-3584	200 Vessey St. New York, NY 10281	eric.ajlouny@rbc.com eric.harounian@rbccm.com david.attansio@rbccm.com cesar.delpozo@rbccm.com ohn.choe@rbccm.com john.dischiavi@rbccm.com	Primary Dealer Active Approved for REPO
35 Samuel A Ramirez & Co. CRD # 6963	Terence Ryan Greenawalt Aaron Brighenti	4374222 2769145	212-378-7137 212-248-0527	61 Broadway 29th Floor New York, NY 10006	ryan.greenwalt@ramirezco.com aaron.brighenti@ramirezco.com	Secondary Dealer
36 Siebert Williams Shank CRD # 42568	Charles McAteer David Coard	2250616 1633685	212-373-4265 212-830-4534	100 Wall Street 18th Floor New York, NY 10005	cmcateer@siebertwilliams.com dcoard@siebertwilliams.com	Secondary Dealer
37 Stifel CRD # 4257945	Bill Blackwill Hilary Neiman	1554624 4458652	949-234-2349 312-759-1773	501 N Broadway St. Louis, MO 63102	blackwillb@stifel.com neimsh@stifel.com	Secondary Dealer
38 StoneX CRD # 45993	Lauren PISAURO Ali Selby	6199673 5175500	212-379-5589 212-379-5579	230 Park Avenue 10th Floor New York, NY 10169	lauren.pisauro@stonex.com ali.selby@stonex.com	Secondary Dealer
39 TD Securities CRD # 18476	Chanel Vicini Michael Kahn Jake Levine	5939418 2898658 6658551	212-827-7169 212-827-7123 212-827-2807	1 Vanderbilt Avenue New York, NY 10017	chanel.vicini@tdsecurities.com michael.kahn@tdsecurities.com jake.levine@tdsecurities.com	Primary Dealer
40 Wells Fargo Securities CRD # 126292	Katiana Siatras Travis McLaughlin	5028434 6351358	303-209-3838 402-670-3479	550 South Tryon Street 6th Floor Charlotte, NC 28202	katiana.siatras@wellsfargo.com travis.mclaughlin@wellsfargo.com	Primary Dealer