



New Mexico State Treasurer's Office

Acknowledgment and Certification

Name of Firm: _____

As a representative of the above-named firm, I hereby acknowledge the following:

1. I have received, read, and fully understand the **New Mexico State Treasurer's Office Investment Policy**.
2. I acknowledge that our firm is responsible for compliance with the guidelines, restrictions, and reporting requirements outlined in the policy.
3. I understand that failure to adhere to the policy may result in the termination of our firm's authorization to conduct investment transactions with the New Mexico State Treasurer's Office.
4. I certify that our firm will act in accordance with all applicable federal, state, and local regulations, as well as the policies set forth by the New Mexico State Treasurer's Office.

Authorized Representative Signature

Printed Name: _____

Title: _____

Signature: _____

Date: _____

For Internal Use Only – New Mexico State Treasurer's Office

Reviewed by: _____

Title: _____

Signature: _____

Date: _____
